



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Klein L. Johnson

Examiner: Mooney, Michael P.

Serial No.: 09/342,801

Group Art Unit: 2877

Filed: June 29, 1999

For: HERMETIC CHIP-SCALE PACKAGE FOR PHOTONIC DEVICE

Docket No.: 1139.1125101 (H16-25073 US)

TRANSMITTAL SHEET

Box Amendment

Assistant Commissioner for Patents

Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL921287887US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 20th day of November, 2002.

By

Lynn Thompson
Lynn Thompson

We are transmitting herewith the attached:

- [X] Amendment/Response to Election/Restriction Requirement
- [X] No additional fee required
- [X] Request For One Month Extension of Time
- [X] Supplemental Information Disclosure Statement, Form PTO 1449, 13 cited references
- [X] Fee Transmittal For FY 2002 authorizing payment in the amount of \$290.00 (\$110.00 1 mo/extension) (\$180.00 Supplemental IDS) to be charged to Honeywell Deposit Account No. 01-1125.
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.

By:

Brian N. Tufte
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TECHNOLOGY CENTER 2800

FEE TRANSMITTAL **For FY 2002**

Patent fees are subject to annual revision.

COMPLETE IF KNOWN

Application No.	09/342,801
Filing Date	June 29, 1999
First Named Inventor	Klein L. Johnson
Group Art Unit	2877
Examiner Name	Michael P. Mooney
Attorney Docket No.	H16-25073 US (1139.112510)

TOTAL AMOUNT OF PAYMENT \$290.00

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METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 01-1125
Deposit Account Name: Honeywell International Inc.

☒ Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
101 740	201 370	Utility Filing Fee	
106 330	206 185	Design Filing Fee	
107 510	207 255	Plant Filing Fee	
108 740	208 370	Reissue Filing Fee	
114 180	214 80	Provisional Filing Fee	
SUBTOTAL (1)			\$

2. EXTRA CLAIM FEES

Total Claims: 20 = 18.00
Independent Claims: 3 = 84.00
Multiple Dependent Claims: 0 = 0.00

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim	
109 84	209 42	Reissue independent claims over original patent	
110 18	210 9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,250	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	110.00
116 400	216 200	Extension for reply within second month	
117 920	217 450	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,150	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 840	Petition to revive - unintentional	
142 1,280	242 840	Utility issue fee (or release)	
143 450	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Statement	180.00
681 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR 1.129(b))	
179 740	279 3370	Request for Continued Examination (RCE)	
189 900	189 900	Request for expedited examination of a design application	
195 300	195 300	Publication fee	

Other fee (specify):

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$290.00

SUBMITTED BY

Name (Print / Type): Andrew A. Abeysa
Signature: [Signature]

Registration No. (Attorney/Agent): 39,582

Complete (if applicable)

Telephone: 602-313-3345
Date: 11-20-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.